1	Effect of montmorillonite on morphology, glass transition
2	and crystallinity of the Xylitol-plasticized
3	bionanocomposites
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12 Abstract

High amylose based nanocomposites plasticized by xylitol were prepared via 13 twin-screw extrusion. The synergistic interaction in the xylitol-plasticized 14 15 nanocomposite was studied via various characterization methods and the unique behavior of the xylitol-plasticized nanocomposite had been discussed. As revealed in 16 the XRD and TEM results, good intercalated/exfoliated morphology had been 17 achieved in all the nanocomposites. Furthermore, the expansion of nanoclay basal 18 spacing was related to the xylitol/nanoclay ratio. DSC analysis clearly proved the 19 unique crystallization process of xylitol-plasticized samples. Moreover, in the 20 crystallization domain results, two domains sized at approximately 93.7Å and 346Å 21 22 were found. This observation points to a two-level complex effect from two aggregate 23 domains; one, the re-aggregation of certain number of silicate layers into domains 24 which trap some of the amylose polymer chains, and two, the bulk drying process which combines smaller amylose crystalline domains within a larger amorphous high 25 amylose matrix. 26

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28 Keywords: nanocomposite; starch; xylitol; XRD; SAXS; DSC

30 1 Introduction

Continuous interests have been drawn on the research related to the starch-based 31 32 bionanocomposites in response to the blooming demands of material with 33 tailored-properties (Kampeerapappun, Aht-ong, Pentrakoon & Srikulkit, 2007; Qiao, Tang & Sun, 2010; Rhim, 2011). Investigations on using nanoclay as the reinforcing 34 phase, on account of their very high aspect ratio (100) and versatility (Rhim, Lee & 35 Hong, 2011; Wang, Zhang, Han & Bai, 2009; Xiong, Tang, Tang & Zou, 2008) have 36 37 been widely carried out in the last decades. Most studies are focused on the examinations of the properties, mechanisms, and aiming for fabrication of 38 properties-tailored materials via introducing different plasticizers (Averous, 2007; 39 40 Follain, Joly, Dole, Roge & Mathlouthi, 2006; Rhim, 2011) with the aim to 41 manipulate the bulk properties of the prepared composites. The dominant factor pertain to its relevant properties is the synergistic interactions in terms of basal 42 spacing, crystallinity value, moisture content and hydrogen bonding changes among 43 plasticizer/starch/nanoclay within the hydrophilic system. In a polysaccharide-based 44 45 polymeric system, hydrogen bond is the most important bond and the interactions associated with it are complex and hardly well documented due to the intricate nature 46 47 of such macromolecules. Therefore, most studies target to alter or balance the -OH related interaction from a molecular level, such as incorporating different type (based 48 49 on different affinity) of smaller molecule hydrophilic plasticizer. As we have described before(Chaudhary & Liu, 2012; Liu et al., 2012), All the mentioned factors 50 are believed to be of utmost importance in affecting and determining the synergistic 51 interactions in such hydrophilic polymeric network which are in turn reflected as the 52 53 different reported values in crystallinity, mechanical properties, and glass-transition temperature for nanocomposite plasticized by different plasticizers in literatures (Tang 54 & Alavi, 2011). Although many studies had been published in the last few decades, a 55 direct comparison of the various results in often difficult because most starch 56 biopolymer based systems are complex in nature due to their varying ratio of 57 amylopectin and amylose, presence of plasticizer and the processing conditions and 58

59 water activity of the final product tend to affect the characterization results. In such 60 context, under same processing condition, we studied two different plasticizers based on number of -OH group (glycerol and sorbitol) to illustrate the effect of the 61 hydrophilicity, stereo-conformation, water activity on the final retrogradation 62 behavior of the starch biopolymer matrix (Liu, Chaudhary, Yusa & Tadé, 2011b). 63 Those studies highlighted the importance of the number of hydroxyl groups, 64 molecule size and the intrinsic properties of the plasticizer in manipulating the 65 66 synergistic interactions thereof (Chaudhary, 2010; Chaudhary, Adhikari & Kasapis, 2010; Liu, Chaudhary, Yusa & Tadé, 2011a, b) which were reflected as bulk properties 67 such as crystallinity, glass-transition temperature and extent of 'locked' moisture as 68 seen through bonding changes in FTIR spectra etc. 69

In this study, to further understand the impact of type of plasticizer on the properties of nanocomposites, a five –OH group plasticizer, xylitol, is investigated. Comprehensive characterization works were carried out including XRD, DSC and synchrotron measurements. Particular attentions were paid to distinguishing the behavior of xylitol-plasticized bionanocomposites in this study, when compared to the glycerol and/or sorbitol plasticized nanocomposites (based on our previous publications).

77 2 Material and Method

78 **2.1 Materials, nomenclature and processing**

79 High-Amylose starch (about 70% amylose), HA-starch, was purchased from National 80 Starch Company (New Jersey, USA), xylitol was obtained from Food Dept Ltd. (Melbourne, Australia) and nanoclay (99.5% pure) was generously supplied by 81 NichePlas Ltd. (Sydney, Australia). 11 samples were prepared at different ratio of 82 83 nanoclay/xylitol concentration. Each formulation was presented as a label such as X105, where 'X' refers to the plasticizer type (xylitol, in the current work), the first 84 85 digit, '1', refers to the nanoclay content in weight percent (e.g., 1 wt% in X105) and the last two digits '05' refer to the weight percent of xylitol within the sample. The 86

sample nomenclatures are listed in Table 1, where PS refers to the HA-starch sample.

88 2.2 Characterization

89 2.2.1 X-ray diffraction

200 XRD measurements of the prepared samples were performed in a Bruker Discover 8 21 diffractometer operating at 40kV and 40mA with a 2θ range from 3° to 30° at a 22 scanning rate of 0.5 °/sec. The basal spacing of the nanoclay was determined from the 23 Bragg's equation, λ =2dsinθ (where θ is the diffraction position and λ is the 24 wavelength). The d-spacing for the pristine nanoclay used in the current study is 25 11.7Å as provided by the supplier.

96 2.2.2 Transmission electron microscopy

97 TEM was performed on ultrathin sections, 150nm in thickness, at JEM-2100 98 microscope (JEOL, Tokyo, Japan), operating at an accelerating voltage of 200 kV. The 99 representative samples were sectioned at room temperature with diamond knife using 100 a Leica Ultramicrotome (EM UC7, Tokyo, Japan). The obtained sections were 101 sandwiched between two 400-mesh copper grids for TEM observation.

102 2.2.3 Measurement of glass transition temprature (Tg)

103 DSC measurement was performed on SEIKO 6200 (Seiko, Japan). About 10mg 104 sample was placed in an aluminum sealed sample pan. The sample was heated from 105 -50° C to 250°C at a heating rate of 5°C /min, kept at 250°C for 1 minutes followed by 106 cooling down to 25°C at 10°C /min. The glass transition temperature (T_g) was taken 107 as the inflection point of the increment of specific heat capacity. Melting temperature 108 was recorded for analysis as well.

109 2.2.4 Synchrotron measurements

Synchrotron beamline BL40B2(Inoue, Oka, Miura & Yagi, 2004) at SPring-8
synchrotron facility (Hyogo, Japan) was used to characterize the prepared samples via
Small Angle X-ray Scattering technique (SAXS). One aluminum filter block was

113 employed to decrease the strength of the X-ray so as to obtain an optimized pattern. 114 The beam was monochromatized to a wavelength of 0.1 nm with an object distance of 1151.76mm. All patterns were recorded on a CCD camera that was calibrated by the 115 diffraction rings from an AgBH (silver behenate) reference sample. The measurement 116 117 time per sample was chosen to eliminate the radiation damage on the tested samples, which was subsequently determined to be 10 seconds. The diffraction profiles were 118 119 normalized to the beam intensity and corrected using an empty background. The data 120 reduction of the 2-D X-ray scattering patterns was completed with NIKA macros (Ilavsky & Jemian, 2009) based on Igor 6.02 (Wavemetrics, Lake Oswego, Oregon). 121

Using the low Q region from the SAXS data, valuable information on the size distribution of crystalline fractions within the polymer could be determined. The Maximum Entropy Method (MEM) developed by Potton *et al.*(Potton, Daniell & Rainford, 1988) for Irena modeling macros (Ilavsky & Jemian, 2009) was employed to understand and quantify the crystalline domain morphologies within the polymer system (Potton, Daniell & Rainford, 1988).

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3 Result and Discussion

129 3.1 XRD results

The XRD patterns for the prepared samples, in Figure 1(a), showed that the *basal spacing (d-spacing)* of all the samples increased to different extents regardless of the nanoclay content. The *basal spacing* value also suggested that starch and/or xylitol molecules had successfully migrated into the gallery of nanoclay. Meanwhile, the TEM images for the representative samples (X210 and X420) are shown in Figure 1 which indicated that most of the samples achieved mixed morphologies (intercalated/exfoliated co-existed).

137 It could be also read from Table 1 that, when the nanoclay concentration is fixed, the 138 *d-spacing* value increased upon increasing the xylitol content, except the 4% nanoclay 139 sample where $d_{X420}(17.6 \text{ Å})$ is slightly smaller than $d_{X410}(17.9 \text{ Å})$. There is no obvious trend that could be found when comparing the sample with different concentration of nanoclay for same xylitol concentration, and this is different to what was reported in the glycerol/sorbitol plasticized samples where a positive trend between increase in nanoclay concentration and increases in the *d-spacing* can be seen (Liu, Chaudhary, Yusa & Tadé, 2011a, b).

145 The MMT characteristic peak occurred in all the samples with xylitol concentration less than 10% (X105, X210, X305 and X410). This observation was different to what 146 was found in the glycerol- and sorbitol-plasticized samples (Liu, Chaudhary, Yusa & 147 148 Tadé, 2011a, b), where such peak broadening occurred in the 5% plasticizer loading samples only. In other words, more xylitol (10% compared to 5% in glycerol/sorbitol 149 samples) is required to achieve the same amount of well-formed crystal structure 150 (sharp peak) arrangement as observed from the XRD measurement. When compared 151 152 to glycerol behavior, this suggested that xylitol hinders the formation of crystal structure with xylitol/MMT/amylose at low plasticizer concentration. In other words, 153 154 when the xylitol amount is less than 10%, the nucleation is relatively more heterogeneous as reflected as the broaden peak in the corresponding samples. 155 However, when the concentration is greater than 10% wt, the XRD data can be 156 157 interpreted which indicates that relatively greater uniformity in the crystal structure existed in xylitol-plasticized systems compared to that from glycerol/sorbitol sample 158 at same plasticizer level. We think that one reason behind this is the strong 159 160 plasticizer/plasticizer interaction of xylitol which enhanced the extent of nanoclay 161 intercalation with the amylose polymer and thus sustained uniform crystal growth. This is further discussed in the crystallization section. 162

Furthermore, as shown in Table 1, the *basal spacing* for different samples is overall larger than that from glycerol-plasticized nanocomposites but smaller than sorbitol-plasticized sample. Such results clearly indicated that the expansion degree of the nanoclay gallery is related to the size of the plasticizer incorporated in the complex system. In other words, the *d-spacing* was primarily determined from the molecular size of the plasticizer. This is consistent with the findings in our previous
publications on the glycerol/sorbitol plasticizer nanocomposites.

170 **3.2 Crystallinity analysis**

171 The crystallinity results of the prepared samples showed some very interesting results, especially for the application of flexible and clear packaging materials. The 172 composites crystallinity was determined from the XRD patterns based on the method 173 introduced in the previous publication (Lopez - Rubio, Flanagan, Gilbert & Gidley, 174 175 2008), the results are shown in Table 1, standard deviations for crystallinity calculations are within \pm 1%. There are two main factors affecting the crystallinity 176 (X_c%) of the composites, they are nanoclay and plasticizer concentrations and it is 177 well known that increasing nanoclay concentration enhances polymer crystallinity due 178 179 to its typical nucleation effect, whereas increasing the amount of plasticizer decreased overall crystalline structure through typical plasticization. 180

181 The native crystallinity obtained for high amylose starch is around 10%, and we have reported in our earlier work that our samples of high amylose starch from corn 182 showed around 8% bulk crystallinity (Liu, Chaudhary, Yusa & Tadé, 2011b). It has 183 184 also been reported that crystallinity values are extremely important to understand starch retrogradation, where the bulk crystallinity can increase up to 20%. As shown 185 in Table 1, the incorporation of nanoclay tends to increase the crystallinity slightly, 186 187 primarily due to the nucleating effect. However, it is also well known that not only nanoclay, which is very important for enhanced physical/barrier properties, 188 189 plasticizers are needed to improve the flexibility and optical clarity of the amylose 190 polymer (in the form of thin films), but higher nanoclay concentration possess a dispersion issue and higher plasticizer concentration increases the retrogradation 191 192 behavior. Therefore, it is very interesting to observe that as we increased the nanoclay 193 and xylitol concentration, their interplay and their interactions with the amylose polymer balanced the bulk crystallinity. It was also found that the crystallinity value 194

195 of xylitol-plasticized sample were significantly higher than that from196 glycerol/sorbitol-plasticized ones.

This finding is very important for the application of such biopolymer nanocomposites in the field of packaging because the two observations regarding nanoclay characteristic peak occurred at higher xylitol concentration (higher than 10%) and overall higher and stable crystallinity values of the nanocomposites suggested that the xylitol-plasticized system increases the threshold crystallinity of the bulk matrix, and prevents further polymer reorganization and thus starch retrogradation.

3.3 Avrami Equation analyses based on DSC-crystallization mechanism

As widely known, in polymer-clay systems, the endotherm peak can provide 204 205 information on the crystalline phases in the nanocomposites when the clay platelets have nano-scale interactions. To gain an improved understanding of these interactions 206 on the bionanocomposites' crystallization behavior, the crystallization process was 207 208 modeled as a combination of several infinitesimal isothermal steps (Lee et al., 1999). The Avrami equation (Eq 1), which is widely applied in investigating crystallization 209 behaviors of polymer systems (Lee et al., 1999), was employed to study the 210 211 non-isothermal crystallization kinetics of the prepared bionanocomposites

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$$X_{t} = \int_{t_{0}}^{t} \frac{dH_{c}}{dt} dt / \int_{t_{0}}^{t_{\infty}} \frac{dH_{c}}{dt} dt$$
(1)

All the curves had a partial sigmoid shape, and the analysis of the development of relative crystallinity could be obtained using $X_t = 1 - \exp(-kt^n)$. A plot of log[-ln(1 - Xt)] vs. log(t) could provide *n*, the value depending upon the mechanics of nucleation and the form of crystal growth, and *k*, a rate constant containing the nucleation and growth parameters. The exponent *n* and the factor *k* from Avrami Equation analyses are presented in Table 2.

The *n* values for the composite samples increased with increasing the xylitol c% at each fixed MMT amount; same finding was observed with increasing MMT amount 221 at fixed xylitol concentration. Because a lower n (composites) compared to n (PS) 222 indicated the more heterogeneous nucleation. It could be read that, the n value for <10% xylitol samples are overall smaller (more heterogeneous nucleation) than that 223 for the >10% xylitol samples. This mutually support the finding from XRD that <10%224 xylitol samples showed broaden peak in XRD pattern (corroborate with the finding 225 more heterogeneous nucleation). Furthermore, clearly, the increasing of MMT 226 amount led to an increased in n value which means the nucleation process is 227 228 becoming relatively homogenous (higher n value in high MMT samples). this 229 observation could be explained based on the well-known nucleation effect of MMT which is becoming the dominant role in a MMT-rich scenario which balanced the 230 competitive nucleation effect from xylitol and led to relatively homogenous 231 232 nucleation process. On the other hand, the next factor k (related to the rate of crystal growth), which showed a large variation. The high xylitol samples showed an overall 233 lower value than that from the low xylitol samples regardless the amount of MMT 234 presented. 235

236 **3.4 Synchrotron results**

237 Specific models have been developed for SAXS data analysis in different 238 characteristic systems (Roe, 2000). The background of the sample holder was 239 subtracted from the raw 2-D X-ray diffraction patterns for representative composites, 240 as shown in Figure 2.

As shown in Figure 2 the scattering patterns (shape and the intensity) of different samples were greatly influenced by varying the loading of nanoclay and/or xylitol. The shape of the scattering pattern changed from approximately circular (X105) to obviously elliptical (X410), which reflected the growth of lamellar structure/ crystalline domains within the composites. The SAXS profiles for the obtained samples are shown in Figure 3.

From Figure 3, the sharp d_{001} in high xylitol content (15% and 20% xylitol) samples strongly indicated a closely packed structure of polymer-MMT and polymer-xylitol 249 assemblages. In other words, 10% xylitol acted like the critical point in determining the morphologies of corresponding nanocomposites which is mutually agree with the 250 suggestion from XRD analysis where only broad peaks were observed in samples 251 containing less than 10% xylitol. Samples with xylitol content larger than 10% tended 252 to show an overall tighter molecular packing within the polymeric network regardless 253 the nanoclay loading. Furthermore, it has been suggested that a closely packed starch 254 network was an indication of lower polymer chain mobility (Vodovotz & Chinachoti, 255 256 1998). This could be linked back to the high T_m values observed for the high xylitol samples where high xylitol samples showed higher T_m value compared with that from 257 low xylitol samples. 258

3.5 Size distribution of the crystalline domains

Two domains were observed in all samples, where the diameters of scatters were 260 denoted as \bar{d}_1 (around 104.1Å) and \bar{d}_2 (around 350.5Å), Table 3. This finding initiated 261 the assumption that, the interaction in this complex polymeric system could be 262 considered as a two scale interaction which led to two different crystalline domains at 263 two different size ($\overline{d_1}$ and $\overline{d_2}$). Firstly, the smaller molecular components 264 (xylitol/MMT) start to form crystals at a smaller size \overline{d}_1 (around 100 Å), after that, the 265 dominate rearrangement of starch chains at a larger-scale promoted the formation of 266 the second larger size crystalline domain \bar{d}_{1} . 267

As shown in Table 3, the mean scatter diameter for $\overline{d_1}$ ranged from 95.3Å to 119.4Å and the crystal size increased as increasing the MMT concentration except in 1% MMT samples. This indicated that the crystallize domain size is primarily depended on the MMT amount, where larger MMT amount led to slightly bigger crystalline size during the first stage of crystallization process ($\overline{d_1}$) where the smaller molecular components (xylitol/MMT) start to form crystals at a smaller size. We can relate this to the finding from XRD and TEM that the higher degree of intercalation are found when increasing the MMT concentration. Higher degree of intercalation is resulted from the enhanced the MMT/xylitol interaction. On the other hand, the enhanced MMT/xylitol interaction could in turn slightly increase the size of the crystal assemblage as well as shown in Table 3. Furthermore, when increasing the xylitol amount at a fixed MMT%, the MMT/xylitol interactions might led to the formation of smaller crystalline domains due to the nucleating effect of MMT. Additionally, this was reflected in the reduced scatter diameter with changing xylitol concentrations.

It had been reported that the formation of another larger size domains could be 282 283 correlated to the well-defined retrogradation phenomenon, where the rearrangement of amylose chains at a larger-scale becomes a dominant process during ageing (Farhat, 284 Blanshard & Mitchell, 2000). As showed in Table 3, \overline{d}_2 value (possibly the 285 rearrangement of the starch polymer chains) is affected by the amount of MMT and 286 xylitol, where increasing MMT% decreased the \overline{d}_2 value to different extent. 287 Meanwhile, increasing xylitol amount at a fixed MMT% decreased the \overline{d}_2 value as 288 well. Further, the presence of MMT provides local sites for polymer aggregation 289 290 and leads to the formation of larger domains. In other words, emergence of the larger 291 crystalline domain is the combined effect of, first the re-aggregation of certain number of silicate layers in to ordered domains during drying (Jasmund & Lagaly, 1993) and 292 second, the retrogradation of the high-amylose starch polymer which caused the 293 294 reorganization of the polymer chains. This is well comply with the hypothesis put forwarded earlier that the interactions in this complex system should be treated as a 295 two-level process. Such observations suggested that the crystallization domain 296 formation in the complex ternary system is system-specific progress that as a result of 297 the interplay of the two-way interactions such as starch/plasticizer, starch/MMT, 298 MMT/plasticizer and the interactions within the same component. 299

300 4 Conclusion

The synergistic interaction in the xylitol-plasticized nanocomposite was studied via 301 various characterization methods and the unique behavior of the xylitol-plasticized 302 303 nanocomposite had been discussed. Based on the results from XRD, it could be 304 concluded that the achievable basal spacing of nanoclay was primarily determined from the molecular size of the plasticizer. Compared to the glycerol and sorbitol 305 plasticized samples, the two observations (high X_c % and broadening of XRD patterns 306 at higher xylitol loading) strongly suggested that in xylitol-plasticized system, the 307 form of crystal were likely to be slower (broadened XRD pattern) due to the 308 competitive nucleation effect from both xylitol and MMT, but the total amount of 309 310 crystal, higher X_c % values.

311 Compared to glycerol/sorbitol plasticized samples, as revealed from the DSC results, starch/xylitol/nanoclay nanocomposite tended to from a firmer polymeric network in 312 terms of molecular mobility within the system. Amylose starch chains in xylitol 313 plasticized samples required larger amount of energy before getting mobilized when 314 compared to the glycerol/sorbitol samples. Two domains sized at approximately 315 104.1Å and 354.62Å were found. These observations resulted from the combined 316 effect of the re-aggregation of certain number of silicate layers in to ordered domains 317 318 during drying and the retrogradation behavior of the high-amylose starch polymer.

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Figure Captions

- Figure 1 (a) WAXD diffractograms for xylitol plasticized samples; where the dotted line indicated the position of clay peak in the XRD curve (b) TEM images for X210 and X420
- Figure 2 Raw 2-D SAXS patterns for X105/X305 and X210/X410.

Figure 3 SAXS Profiles for xylitol-plasticized samples.



Figure 1 (a) WAXD diffractograms for xylitol – plasticized samples; where the dotted line indicated the position of clay peak in the XRD curve (b) TEM images for X210 and X420.





Figure 3 SAXS Profiles for xylitol-plasticized samples.

Table captions

- Table 1 Basal spacing, characteristic nanoclay peak position (P_{clay}), glass-transition temperature (T_g) and melting te mperature (T_m) for xylitol-plasticized nanocomposites.
- Table 2 The exponent n and the factor k obtained from a non-isothermal crystallization analysis for nanocomposite samples plasticized by xylitol.
- Table 3 Radius values (Å) from size distribution calculated by MEM method for xylitolplasticized low moisture content samples.

Table 1

Basal spacing, characteristic nanoclay peak position (P_{clay}), crystallinity, glass-transition temperature (T_g) and melting temperature (T_m) for xylitol-plasticized nanocomposites.

Sample ID	PS^{**}	X200 ^{**}	X400**	X105	X115	X210	X220	X305	X315	X410	X420
$Xc^{*}(\%)$	7.48	8.23	12.5	7.05	11.4	13.4	11.26	9.7	9.34	10.09	9.01
T_g (°C)	49.5	60.17	68.95	55.7	49.6	56.25	39.7	58.5	50.6	50.0	48.6
T_m (°C)	128.5	124.43	120.55	218	204	233	237	133	213	153	246
$P_{clay}(^{\circ})$				5.09	<mark>4.73</mark>	<u>5.03</u>	<mark>4.83</mark>	<mark>5.2</mark>	<mark>4.72</mark>	<mark>4.92</mark>	5.02
a-spacing (Å)	-	16.5	18.2	17.3	18.7	17.6	18.3	17.0	18.7	17.9	17.6

*crystallinity calculated from the method described in Lopez-Rubio et al. 2008

**reproduced from (Liu, Chaudhary, Yusa & Tadé, 2011b)

Table 2

The exponent n and the factor k obtained from a non-isothermal crystallization analysis for nanocomposite samples plasticized by xylitol.

Sample ID	X105	X115	X210	X220	X305	X315	X410	X420
n	0.62	0.73	0.52	1.50	0.71	1.42	1.11	1.61
k	-1.21	-2.4	-1.69	-1.32	-1.26	-2.38	-2.91	-1.12

Table 3

Radius values (Å) from size distribution calculated by MEM method for xylitol-plasticized low moisture content samples.

Sample ID	$\overline{d}_{\scriptscriptstyle 1}{}^*\!({\rm \AA})$	$\bar{d}_{_2}*(\text{\AA})$
X105	119.3	357.7
X115	99.7	341.3
X210	98.3	364.1
X220	95.1	345.1
X305	104.2	350.1
X315	101.6	336.2
X410	106.3	348.1
X420	102.3	338.6

* \overline{d} : mean scatter diameter (Å)